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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

PTO/SB/05 (03-01)  
Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Attorney Docket No. 0732.66933  
First Inventor Bantz, Shannon R.  
Title Cascading tub filler and overflow assembly  
Express Mail Label No. EL 846225421 US

ADDRESS TO:

Mail stop patent application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27. [Total Pages 12]
3. ☒ Specification  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 4]  
[Total Pages 16]
5. Oath or Declaration
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
  - i. ☐ **DELETION OF INVENTORS**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122  
(b)(2)(B)(i)- Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: \_\_\_\_\_

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:
- ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. \_\_\_\_\_
- Prior application information: Examiner \_\_\_\_\_ Group Art Unit, \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label 08668 or ☐ Correspondence address below  
(insert Customer No. or Attach bar code label here)

Name Philip M. Kolehmainen  
Address GREER, BURNS & CRAIN Ltd.  
Suite 2500, 300 South Wacker Drive  
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Country USA Telephone 312 987 2914 Fax 312 360 9415  
Registration No. (Attorney/Agent) 22,992  
Name (Print/Type) Philip M. Kolehmainen Date 25 Sep 02  
Signature *Philip M. Kolehmainen*

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

18351 U.S. PTO  
09/25/03

PTO/SB/17 (11-00)

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U.S. Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

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# FEE TRANSMITTAL for FY 2003

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

\$790.00

## Complete if Known

Application Number	
Filing Date	Herewith
First Named Inventor	Bantz, Shannon R.
Examiner Name	
Group Art Unit	
Attorney Docket No.	0732.66933

## FEE CALCULATION (continued)

1 ☒ The Commissioner is hereby authorized to charge indicated and credit any overpayments to:

Deposit Account Number	50-1967
Deposit Account Name	Philip M. Kolehmainen

☒ Charge Any Additional Fee Required under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status See 37 CFR 1.27

☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

### BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 750 201 375		Utility filing fee	\$750.00
106 320 206 160		Design filing fee	
107 520 207 260		Plant filing fee	
108 750 208 375		Reissue filing fee	
114 150 214 75		Provisional filing fee	

SUBTOTAL (1) \$750.00

### EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
<input type="checkbox"/>	-20** = <input type="checkbox"/> X	<input type="checkbox"/>	\$0.00
<input type="checkbox"/>	-3** = <input type="checkbox"/> X	<input type="checkbox"/>	\$0.00
<input type="checkbox"/>	Multiple Dependent	<input type="checkbox"/>	

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18 203 9		Claims in excess of 20
102 84 202 42		Independent claims in excess of 3
104 270 204 135		Multiple dependent claim, if not paid
109 80 209 40		** Reissue independent claims original patent
110 18 210 9		** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$0.00

\*\*or number previously paid, if greater; For Reissues, see above

### 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130 205 65		Surcharge - late filing fee or oath	
127 50 227 25		Surcharge - late provisional filing fee or cover sheet	
139 130 139 130		Non-English specification	
147 2,520 147 2,520		For filing a request for ex parte reexamination	
112 920* 112 920*		Requesting publication of SIR prior to Examiner action	
113 1,840* 113 1,840*		Requesting publication of SIR after Examiner action	
115 110 215 55		Extension for reply within first month	
116 410 216 205		Extension for reply within second month	
117 930 217 465		Extension for reply within third month	
118 1450 218 725		Extension for reply within fourth month	
128 1,970 228 985		Extension for reply within fifth month	
119 310 219 155		Notice of Appeal	
120 310 220 155		Filing a brief in support of an appeal	
121 270 221 135		Request for oral hearing	
138 1,510 38 1,510		Petition to institute a public use proceeding	
140 110 240 55		Petition to revive - unavoidable	
141 1,300 241 650		Petition to revive - unintentional	
142 1,300 242 650		Utility issue fee or reissue	
143 470 243 235		Design issue fee	
144 630 244 315		Plant issue fee	
122 130 122 130		Petitions to the Commissioner	
123 50 123 50		Processing fee under 37 CFR 1.17(q)	
126 180 126 180		Submission of Information Disclosure Stmt	
581 40 581 40		Recording each patent assignment per property (times number of properties)	\$40.00
146 750 246 375		Filing a submission after final rejection (37 CFR § 1.129(a))	
149 750 249 375		For each additional invention to be examined (37 CFR § 1.129(b))	
179 750 279 375		Request for continued Examination (RCE)	
169 900 169 900		Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

\$40.00

## SUBMITTED BY

Complete if applicable)

Name (Print Type)	Philip M. Kolehmainen	Registration No.	22,992	Telephone	312-987-2914
Signature	<i>Philip M. Kolehmainen</i>	Attorney/Agent		Date	25 Sep 03